



Annex 2: Enquiry on the implementation status of the pan-European C&I for SFM

This enquiry is part of the project “*Implementing Criteria and Indicators for Sustainable Forest Management in Europe*”. This project is a contribution to the FOREST EUROPE Work Programme, and closely coordinated with other work on monitoring and assessment of sustainable forest management under UNECE/FAO, in particular this carried out by the Team of Specialists on Monitoring SFM. The project is led by the European Forest Institute and financed by the Government of Germany.

Criteria and indicators (C&I) have emerged as a principal tool in promoting sustainable forest management (SFM). In the pan-European context the FOREST EUROPE process has developed a pan-European C&I set, which consists of 6 criteria and 35 quantitative indicators as well as 17 qualitative indicators. The pan-European set has served as the basis for State of Europe’s Forests reports in 2003, 2007 and 2011, and provided the background and framework for national and sub-national policy formulation, analysis and monitoring. However, little is known about how the pan-European set has been implemented at the national and sub-national level.

This project is designed to a) analyse the implementation of C&I for SFM in the 46 signatory states of the FOREST EUROPE process and b) strengthen the process and the use of C&I, not only as a tool for monitoring and reporting, but also for policy making at national and European level. The project is divided into seven Working Packages.

The aim of this particular Working Package (WP3) under this project is to a) investigate to what extent the C&I for SFM are being implemented at national and sub-national level, b) investigate the fields of application C&I (e.g. monitoring and data collection, national forest reports, policy formulation, communication, etc) at national and sub-national level, c) further investigate the factors affecting the effectiveness of C&I.

To meet these objectives, we would kindly ask you, or your organization, to supply the information requested in *this enquiry*. It is essential to collect first hand information from the signatory states in order to assess the implementation of C&I at national and sub-national level. Your input will provide the basis for an objective review of the results of the pan-European C&I for SFM, and of how they have been implemented at the European, national and sub-national levels. It will be the main input for the assessment under WP3 of the project, and the factual basis for the Regional Workshops, which are preliminary scheduled for spring 2013. This information will feed into the European Conference as well, where the results of the project will be disseminated and this will also provide a platform to develop recommendations for the further development of the pan-European C&I. The conference is scheduled for autumn 2013.



This information enquiry will be carried out in two stages: a) stage 1 will deliver a comparable assessment focusing on the five points of the C&I implementation, according to the developed working definition (see Annex 1 for reference) and b) stage two, that will address specific questions, based on the feedback from the countries to the first stage. This could include regional specifics or gather case studies for one or more elements of the implementation. The second stage will also be supplemented by interviews.

This enquiry is grouped into eight **sub-headings**:

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For answering the questions, please use the text fields and the drop-down lists [Click to Choose]. Please return the questionnaire to Mr Hubert Inhaizer (hubert.inhaizer@efi.int). We kindly ask you to submit your response no later than **24th August 2012**. However, please feel free to contact us, if you would like to propose alternate deadline. We hope this arrangement will facilitate your feedback. After receiving your submission we may contact you by telephone to go through the response and collect additional information when necessary.

For more information, please contact Mr Inhaizer who will be please to provide you additional details: or visit the project's information platform at <http://www.ci-sfm.org/>

Thank you in advance for your participation and cooperation!



I. Background information

1. Country (Region if applicable)	
2. Contact person for the questionnaire	
3. Position	
4. Organisation	
5. Address	
6. Tel. & e-mail	
7. Other respondents involved in compiling the response	

II. General questions

This part investigates to what extent the pan-European Criteria and Indicators set for Sustainable Forest Management set has been adapted to national circumstances and if the countries have prepared a national report structured according to pan-European Criteria and Indicators set or the national set derived from it.

8. Do you have a national set of C&I?
<input type="checkbox"/> Yes. Please provide reference in National language and in English,if applicable
a. Is it based on the pan-European C&I set?
b. What differs from the pan-European set and why?
c. Please, describe which stakeholders have been involved in developing and maintaining the national C&I set
d. Have you modified data collection at the national and/or sub-national level to allow conformity with the pan-European C&I for instance by collecting data on new parameters because they appear in the pan-European C&I set?
e. What year did you modify the data collection at the national and/or sub-national level
<input type="checkbox"/> No, we don't have one, but it's under development.
<input type="checkbox"/> No, we don't have any and we're not planning it yet.



9. Have you prepared a national report structured according to pan-European C&I set or the national set derived from it? Please note that this question is not about the country contribution to the State of Europe's Forests Report 2003, 2007 and 2011.

Please provide reference and explanation, if applicable.

10. When are you planning the next (or the first, where applicable) national report structured according to the pan-European C&I set or the national set derived from it?

Please provide explanation, if applicable.

11. Are there any institutions in your country responsible for the following aspects of C&I implementation? If yes, please provide reference.

a. Provide a framework for dialogue and communication between policy makers, inside and outside the forest sector, and other stakeholders, as well as the general public on SFM and forest policy development

Click to choose

Please provide reference

b. Monitor and report on the state and trends of the forest sector

Click to choose

Please provide reference

c. Assess progress towards Sustainable Forest Management and identify emerging issues

Click to choose

Please provide reference

d. Formulate, implement, monitor and evaluate national or sub-national forest programmes, policies and/or instruments

Click to choose

Please provide reference

e. Provide information and/or assessment to indicator sets outside the forest sector e.g. for sustainable development (e.g. Millennium Development Goals) or biodiversity (e.g. Convention on Biological Diversity), or the forest sector in other regions (e.g. Montréal Process)

Click to choose

Please provide reference



III. Provide a framework for dialogue and communication

This part of the enquiry focuses on questions on how pan-European Criteria and Indicators set for Sustainable Forest Management or the national set has served as framework for dialogue on sustainable forest management and forest policy development.

12. To what extent have you used the pan-European C&I set or the national set derived from it as a framework for dialogue on Sustainable Forest Management and forest policy development in your country? Please, set a ranking [between 1 (not at all) – 9 (to a great extent), 0 (do not know/no opinion)] and explain your ranking.
a. To support policy and decision makers? C & I set Click to choose Ranking Click to choose Please explain your ranking
b. For dialogue within the forestry sector? C & I set Click to choose Ranking Click to choose Please explain your ranking
c. For communication with other sectors? C & I set Click to choose Ranking Click to choose Please explain your ranking
d. For communication with the general public? C & I set Click to choose Ranking Click to choose Please explain your ranking
13. Please provide examples, if applicable.
14. Any additional comments



IV. Monitoring and reporting

This part of the enquiry focuses on a countries experience on providing information on the pan-European quantitative Indicators to State of Europe's Forests 2011 report in order to investigate actual and potential problems.

15. Did your country face any major challenges to provide complete information for State of Europe's Forests 2011, according to the pan-European C&I set?
Click to choose Please explain
16. Which pan-European indicators were the most challenging? Please chose maximal 3 indicators (Annex 2) and explain.
Indicator Please explain why
Indicator Please explain why
Indicator Please explain why
17. Were there information sources relevant to Sustainable Forest Management in your country which could not be used for State of Europe's Forests 2011, for instance because of different formats and definitions, expense and difficulty of converting information, lack of accessibility, non-availability of resources or due to any other reasons?
Please explain
18. Any additional comments



V. Assess Sustainable Forest Management at national level

This part of the enquiry focuses on how the pan-European Criteria and Indicators have been used to report on a country's progress towards Sustainable Forest Management at national level. Please note that a working definition of "assessment of sustainable forest management" (see Annex X) was proposed for discussion during the Meeting of the UNECE/FAO Team of Specialists on Monitoring SFM– 22-24 May 2012, Saint Petersburg, Russian Federation¹. In order to harmonize these initiatives the project will use this working definition as a reference in this part of the enquiry.

19. To what extent has the pan-European C&I set been used for national assessment of Sustainable Forest Management? Please, set a ranking [between 1 (not at all) – 9 (to a great extent), 0 (do not know/no opinion)] and explain your ranking.

Ranking Click to choose
Please explain your ranking

20. To what extent has the pan-European C&I set, or a national set derived from it, been suitable for this purpose? Please, set a ranking [between 1 (not at all) – 9 (to a great extent), 0 (do not know/no opinion)] and explain your ranking.

Ranking Click to choose
Please explain your ranking

21. Have you used the pan-European C&I set, or a national set derived from it, to identify emerging issues and new challenges and/or opportunities related to forestry in your country?

Click to choose
Please explain

22. Is there a formal process to identify emerging issues in your country (e.g. trends in certain indicators thresholds identified in advance)? Please explain briefly the process and its linkages to the C&I set if applicable.

23. Any additional comments

¹ For additional details, please visit: http://www.unece.org/fileadmin/DAM/timber/other/Item_5a.pdf



VI. Use in national forest policies

This part of the enquiry focuses on how the pan-European Criteria and Indicators, or a national set derived from it has been used to formulate, implement, monitor and evaluate national or sub-national forest programmes, policies and/or plans of a country.

24. Is there an explicit reference to the pan-European C&I set in any major policy document, for instance your national forest program or equivalent?
Click to choose Please provide reference
25. Has the pan-European C&I, or a national set derived from it, been used to:
a. Formulate national or sub-national forest programmes, policies and/or plans Click to choose Please provide reference
b. Implement national or sub-national forest programmes, policies and/or plans, Click to choose Please provide reference
c. Monitor national or sub-national forest programmes, policies and/or plans Click to choose Please provide reference
d. Evaluate national or sub-national forest programmes, policies and/or plans Click to choose Please provide reference
e. Other, please specify
26. In your opinion were all criteria considered at all stages of the process? If not, which criteria received less/more attention? C 1: Maintenance and Appropriate Enhancement of Forest Resources and their Contribution to Global Carbon Cycles; C 2: Maintenance of Forest Ecosystem Health and Vitality, etc. See Annex 3 for easier reference
a. Criteria, that received more attention. Criterion Please explain why
b. Criteria, that received less attention. Criterion Please explain why
27. Could you provide one or more concrete examples or lessons learnt?



28. In your opinion, has the pan-European C&I set influenced the national forest policies in your country? Please explain and provide examples, if applicable

Click to choose
Please explain

29. Any additional comments

VII. Provide information for other sectors

This part of the enquiry focuses on how the pan-European Criteria & Indicators set or the national set has been used by other sectors and in order to find out if these sectors require any changes or adjustments in current pan-European Criteria & Indicators set.

30. To what extent has the pan-European C&I set, or the national set derived from it, been used for providing information about forestry or other sectors in your country? Please, set a ranking [between 1 (not at all) – 9 (to a great extent), 0 (do not know/no opinion)] and explain your ranking.

a. For sustainability (e.g. reporting on Millennium Development Goals)?
Ranking Click to choose
Please explain your ranking

b. For biodiversity (e.g. reporting under the Convention on Biodiversity)?
Ranking Click to choose
Please explain your ranking

c. For climate change (e.g. for GHG or LULUCF reporting under the Kyoto Protocol)?
Ranking Click to choose
Please explain your ranking

d. What other sectors?
Ranking Click to choose
Please explain your ranking

31. Could you provide an example or lessons learnt related to this application?

32. Any additional comments



VIII. Concluding questions

This part of the enquiry focuses on the usefulness of the pan-European Criteria & Indicator set as a framework for dialogue and communication, to monitor and report on the state and trends assess progress towards Sustainable Forest Management

<p>33. To what extent do you consider the pan-European C&I set a useful tool to: Please, set a ranking [between 1 (not at all) – 9 (to a great extent), 0 (do not know/no opinion)] and explain your ranking.</p>
<p>a. Provide a framework for dialogue and communication between policy makers, inside and outside the forest sector, and other stakeholders, as well as the general public on SFM and forest policy development Ranking Click to choose Please explain your ranking</p>
<p>b. Monitor and report on the state and trends of the forest sector Ranking Click to choose Please explain your ranking</p>
<p>c. Assess progress towards Sustainable Forest Management and identify emerging issues Ranking Click to choose Please explain your ranking</p>
<p>d. Formulate, implement, monitor and evaluate national or sub-national forest programmes, policies and/or instruments Ranking Click to choose Please explain your ranking</p>
<p>e. Provide information and/or assessment to indicator sets outside the forest sector e.g. for sustainable development (e.g. Millennium Development Goals) or biodiversity (e.g. Convention on Biological Diversity), or the forest sector in other regions (e.g. Montréal Process) Ranking Click to choose Please explain your ranking</p>
<p>34. Could the pan-European C&I set be used for other purposes, besides the five listed above?</p>
<p>Click to choose Please explain</p>
<p>35. Do you think that any revision to the pan-European C&I set is required?</p>
<p>Please explain</p>

